

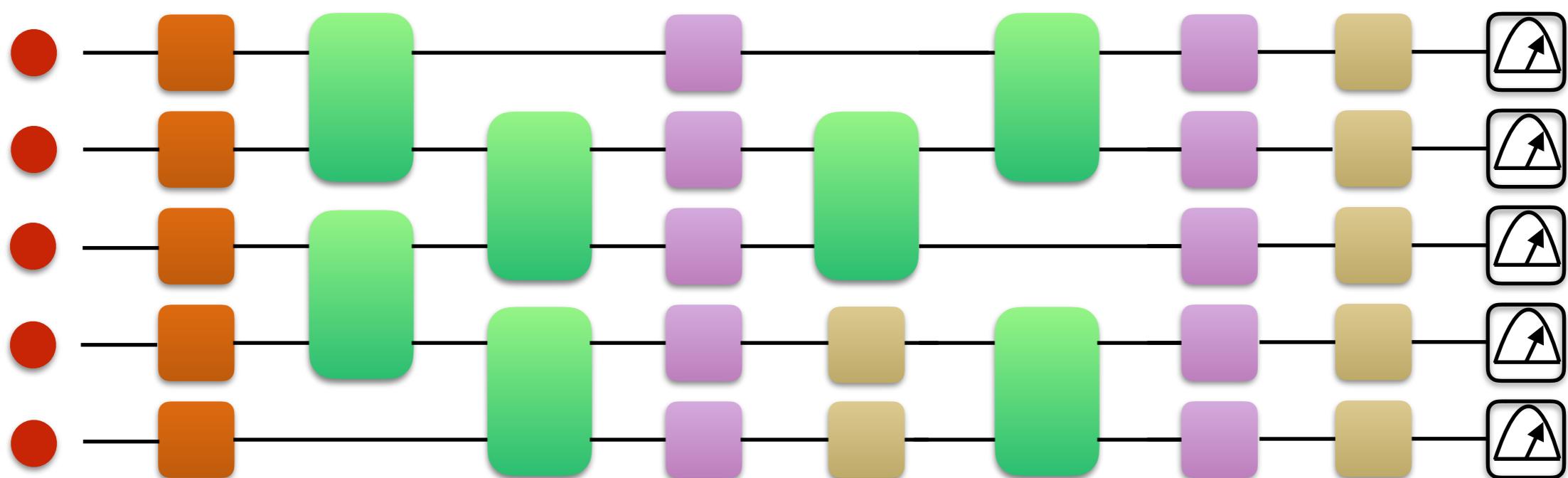
Quantum Computation & Cryptography

Day 2

How to make a physical theory 101

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Our goal today will be to understand this...



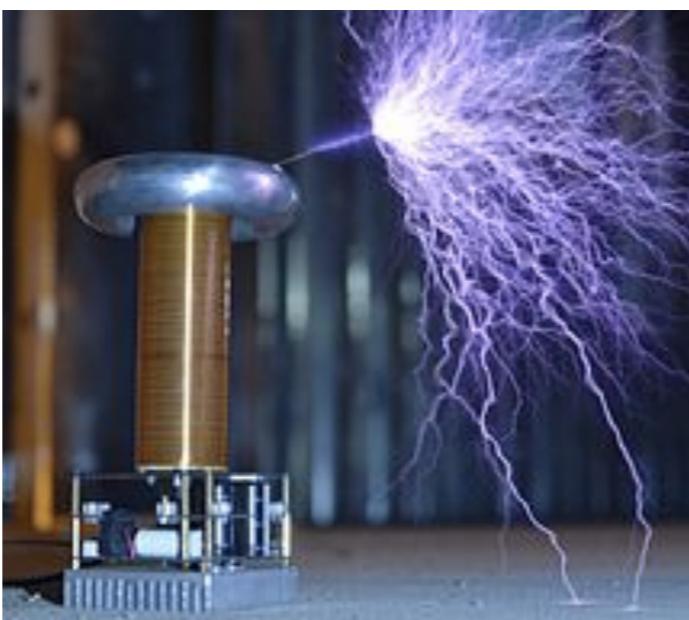
Physics



Observation

$$\nabla \cdot \mathbf{E} = \frac{\rho_v}{\epsilon}$$
$$\nabla \times \mathbf{E} = -\mu \frac{\partial \mathbf{H}}{\partial t}$$
$$\nabla \cdot \mathbf{H} = 0$$
$$\nabla \times \mathbf{H} = \epsilon \frac{\partial \mathbf{E}}{\partial t} + \sigma \mathbf{E}$$

Mathematical
modelling



Experiments

Characteristics of a physical theory

- Expressed in mathematics
- Ideally all aspects of theory are rigorously defined and consistent
- Can be used to make testable predictions

**Newtonian (classical)
mechanics**

Quantum mechanics

Relativity theory

Electrodynamics

Thermodynamics

Quantum field theory

Quantum gravity?

A physicist's dream theory

States

Representing the state of the system

$$\mathcal{S} \quad s \in \mathcal{S}$$

Transformations

Changing states in time

$$\mathcal{S} \rightarrow \mathcal{S}$$

Composition

The state of multiple systems

$$\mathcal{S}_{AB} = \mathcal{S}_A \otimes \mathcal{S}_B$$

Observation (measurement)

Observing physical properties

$$\mathcal{S} \rightarrow \mathbb{R}$$

$$\mathcal{S} \times \mathbb{R} \rightarrow [0, 1]$$

A physicist's dream theory

States



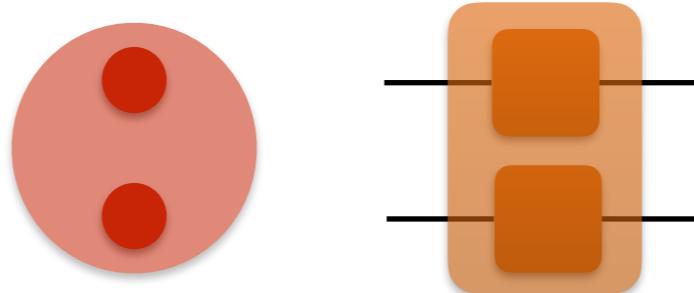
$$\mathcal{S} \quad s \in \mathcal{S}$$

Transformations



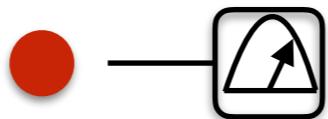
$$\mathcal{S} \rightarrow \mathcal{S}$$

Composition



$$\mathcal{S}_{AB} = \mathcal{S}_A \otimes \mathcal{S}_B$$

**Observation
(measurement)**



$$\begin{aligned}\mathcal{S} &\rightarrow \mathbb{R} \\ \mathcal{S} \times \mathbb{R} &\rightarrow [0, 1]\end{aligned}$$

Classical mechanics

States $\mathcal{S} = \mathbb{R}^{dN}$ $s = (\mathbf{q}_1, \mathbf{q}_2, \dots, \mathbf{q}_N, \mathbf{p}_1, \mathbf{p}_2, \dots \mathbf{p}_N)$
 $\mathbf{q}_i = (q_i^1, q_i^2, \dots q_i^d)$ $\mathbf{p}_i = (p_i^1, p_i^2, \dots p_i^d)$

Transformations $H(\mathbf{q}_1, \dots \mathbf{q}_N, \mathbf{p}_1, \dots \mathbf{p}_N, t)$

$$\frac{dp_i^j}{dt} = -\frac{\partial H}{\partial q_i^j} \quad \frac{dq_i^j}{dt} = \frac{\partial H}{\partial p_i^j}$$

Composition

**Observation
(measurement)**

Classical mechanics

States $\mathcal{S} = \mathbb{R}^{dN}$ $s = (\mathbf{q}_1, \mathbf{q}_2, \dots, \mathbf{q}_N, \mathbf{p}_1, \mathbf{p}_2, \dots, \mathbf{p}_N)$
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Transformations $H(\mathbf{q}_1, \dots, \mathbf{q}_N, \mathbf{p}_1, \dots, \mathbf{p}_N, t)$
$$\frac{d\mathbf{p}}{dt} = -\frac{\partial H}{\partial \mathbf{q}} \quad \frac{d\mathbf{q}}{dt} = \frac{\partial H}{\partial \mathbf{p}}$$

Composition

**Observation
(measurement)**

Classical mechanics

States $\mathcal{S} = \mathbb{R}^{dN}$ $s = (\mathbf{q}_1, \mathbf{q}_2, \dots, \mathbf{q}_N, \mathbf{p}_1, \mathbf{p}_2, \dots, \mathbf{p}_N)$
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Transformations $H(\mathbf{q}_1, \dots, \mathbf{q}_N, \mathbf{p}_1, \dots, \mathbf{p}_N, t)$

$$s \xrightarrow[t]{\quad} (\mathbf{q}, \mathbf{p}) \longrightarrow \frac{d\mathbf{p}}{dt} = -\frac{\partial H}{\partial \mathbf{q}} \quad \frac{d\mathbf{q}}{dt} = \frac{\partial H}{\partial \mathbf{p}}$$

Composition

**Observation
(measurement)**

Classical mechanics

States $\mathcal{S} = \mathbb{R}^{dN}$ $s = (\mathbf{q}_1, \mathbf{q}_2, \dots, \mathbf{q}_N, \mathbf{p}_1, \mathbf{p}_2, \dots, \mathbf{p}_N)$
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Composition $\mathcal{S}_{AB} = \mathcal{S}_A \times \mathcal{S}_B$ $s_{AB} = s_A \cdot s_B$

Pretty much any “well-behaved”
function of the form:

$$f : \mathcal{S} \rightarrow \mathbb{R}$$

**Observation
(measurement)**

Classical mechanics

States $\mathcal{S} = \mathbb{R}^{dN}$ $s = (\mathbf{q}_1, \mathbf{q}_2, \dots, \mathbf{q}_N, \mathbf{p}_1, \mathbf{p}_2, \dots, \mathbf{p}_N)$
 $\mathbf{q}_i = (q_i^1, q_i^2, \dots, q_i^d)$ $\mathbf{p}_i = (p_i^1, p_i^2, \dots, p_i^d)$

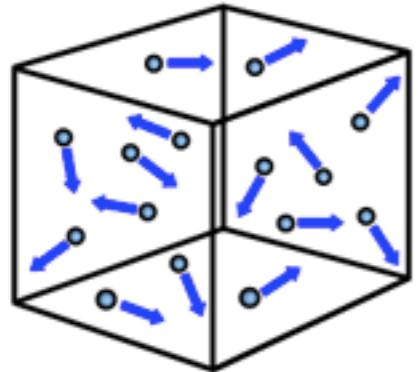
Transformations $H(\mathbf{q}_1, \dots, \mathbf{q}_N, \mathbf{p}_1, \dots, \mathbf{p}_N, t)$

$$s \xrightarrow[t]{\quad} (\mathbf{q}, \mathbf{p}) \longrightarrow \frac{d\mathbf{p}}{dt} = -\frac{\partial H}{\partial \mathbf{q}} \quad \frac{d\mathbf{q}}{dt} = \frac{\partial H}{\partial \mathbf{p}}$$

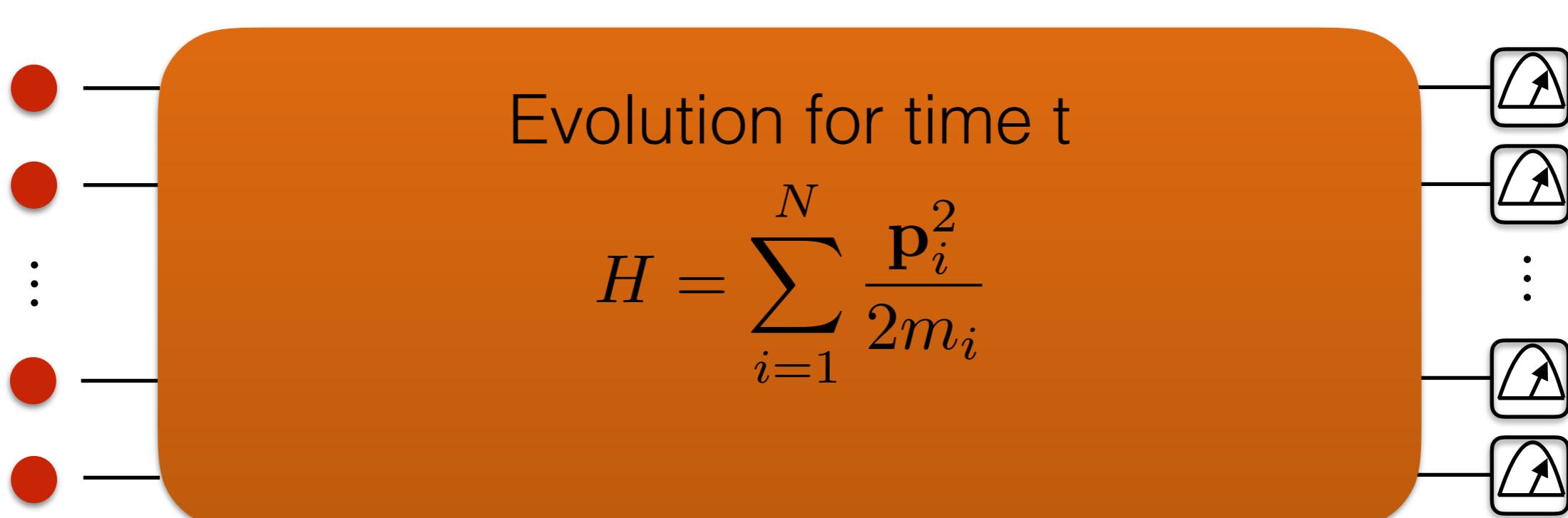
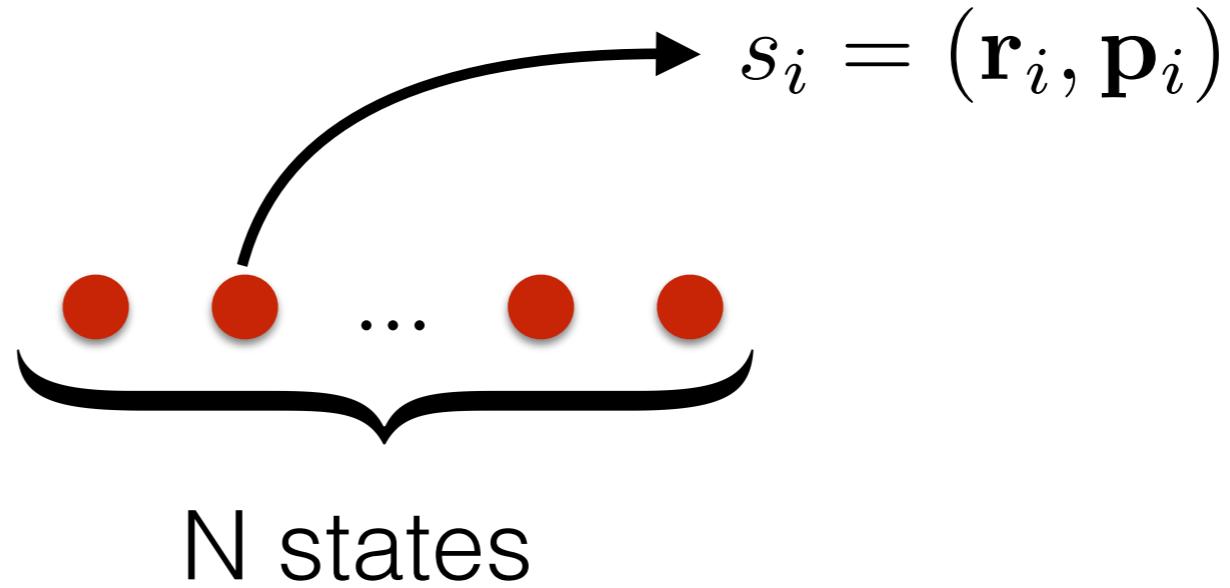
Composition $\mathcal{S}_{AB} = \mathcal{S}_A \times \mathcal{S}_B$ $s_{AB} = s_A \cdot s_B$

**Observation
(measurement)** E.g.
 $Pos_i^j(s) = q_i^j$ $Mom_i^j(s) = p_i^j$

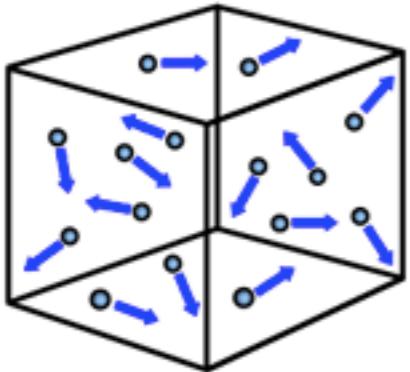
Example



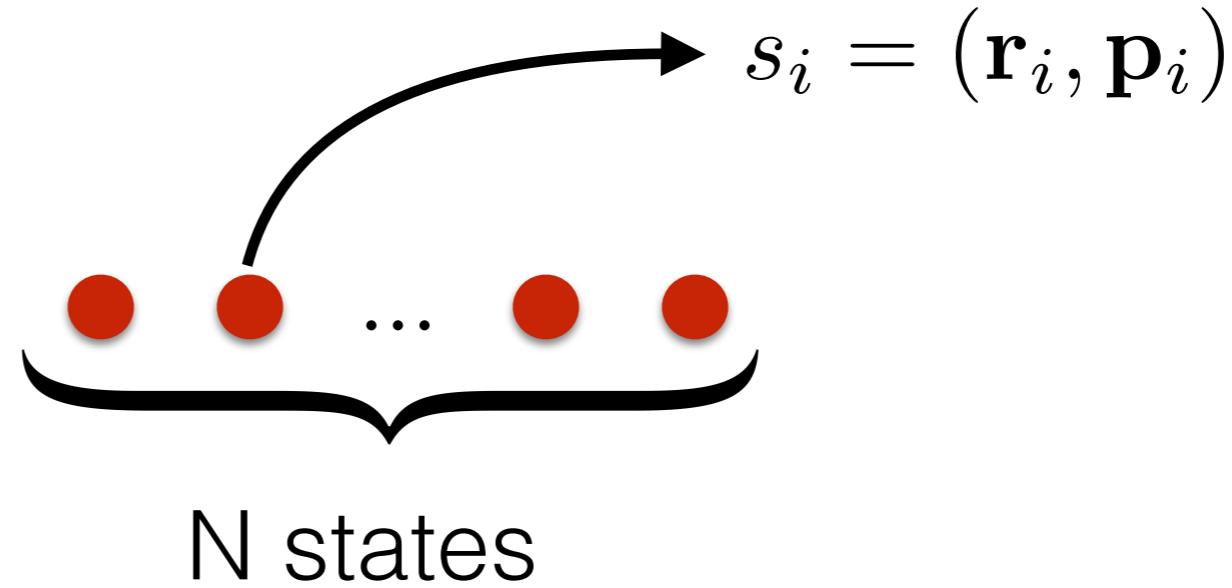
N particles
non-interacting



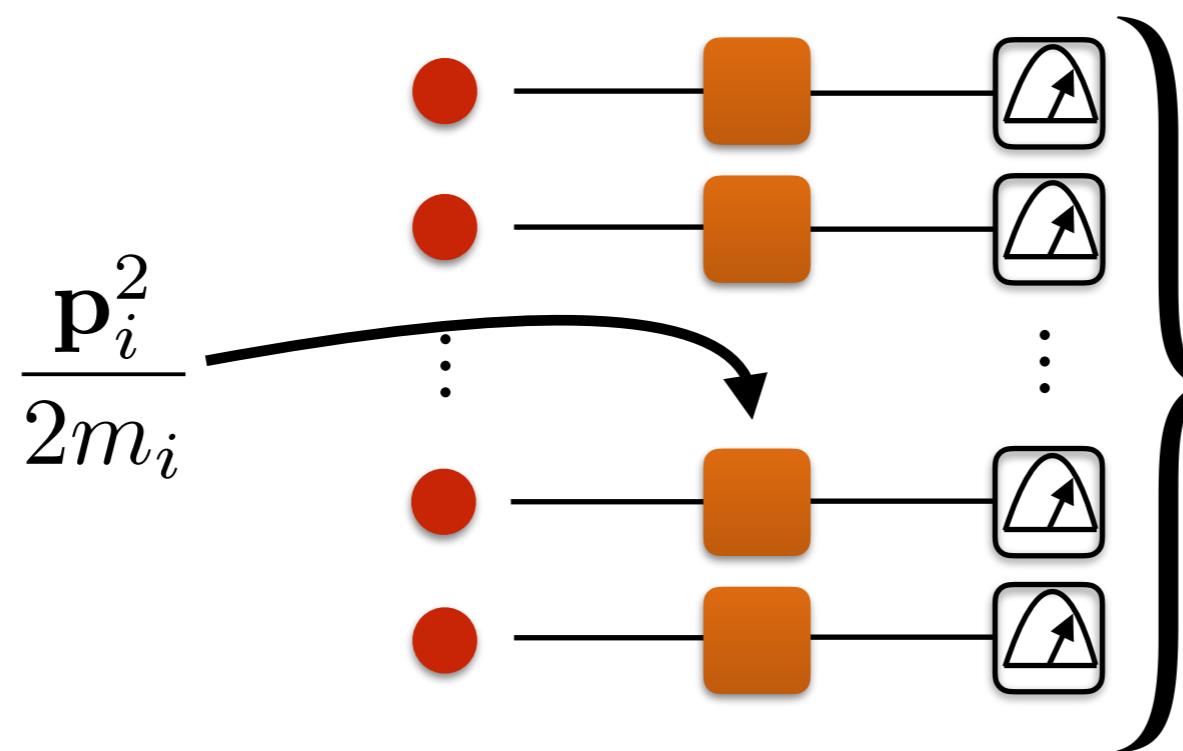
Example



N particles
non-interacting



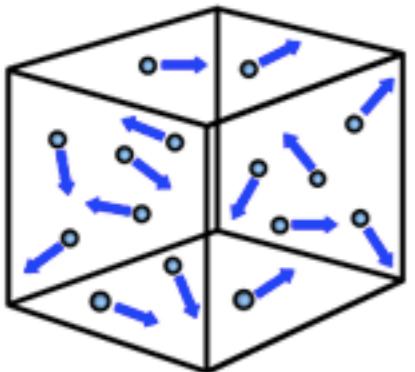
N states



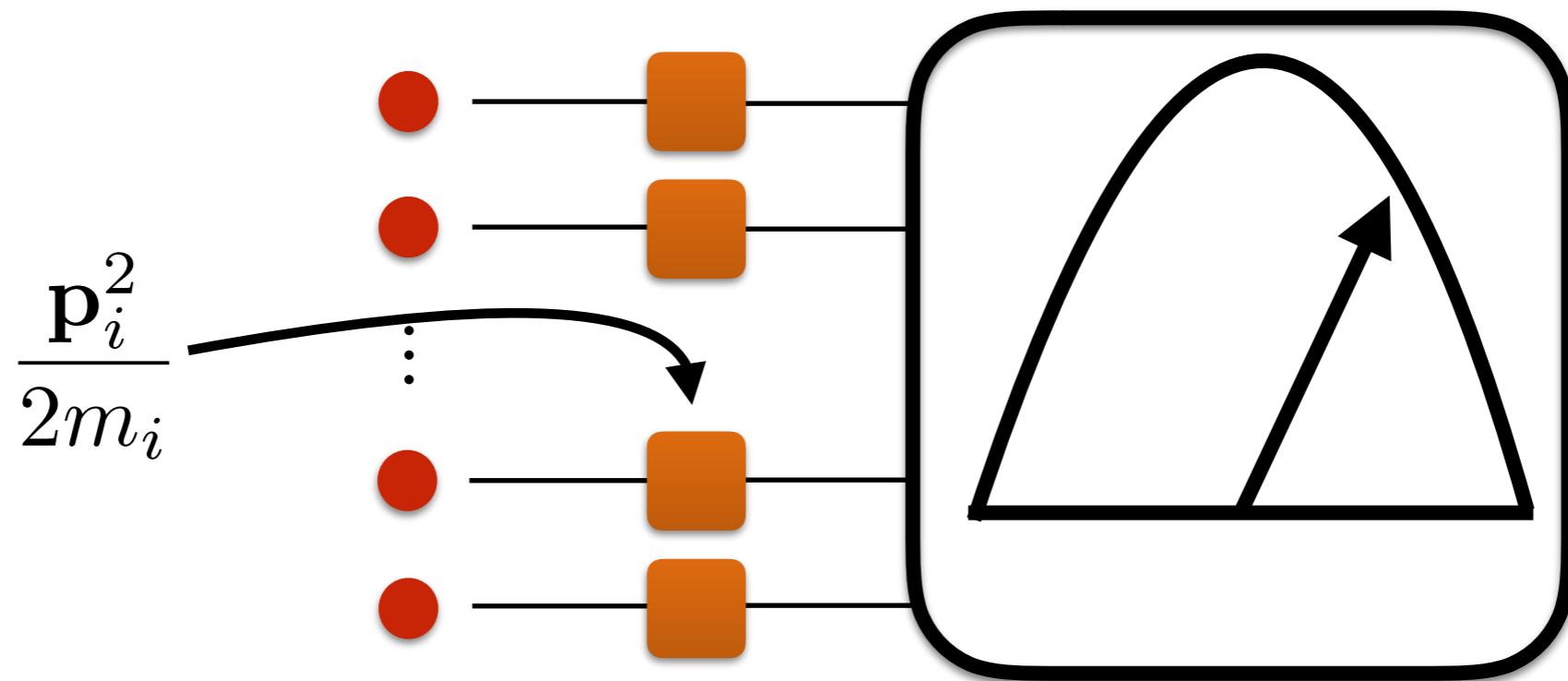
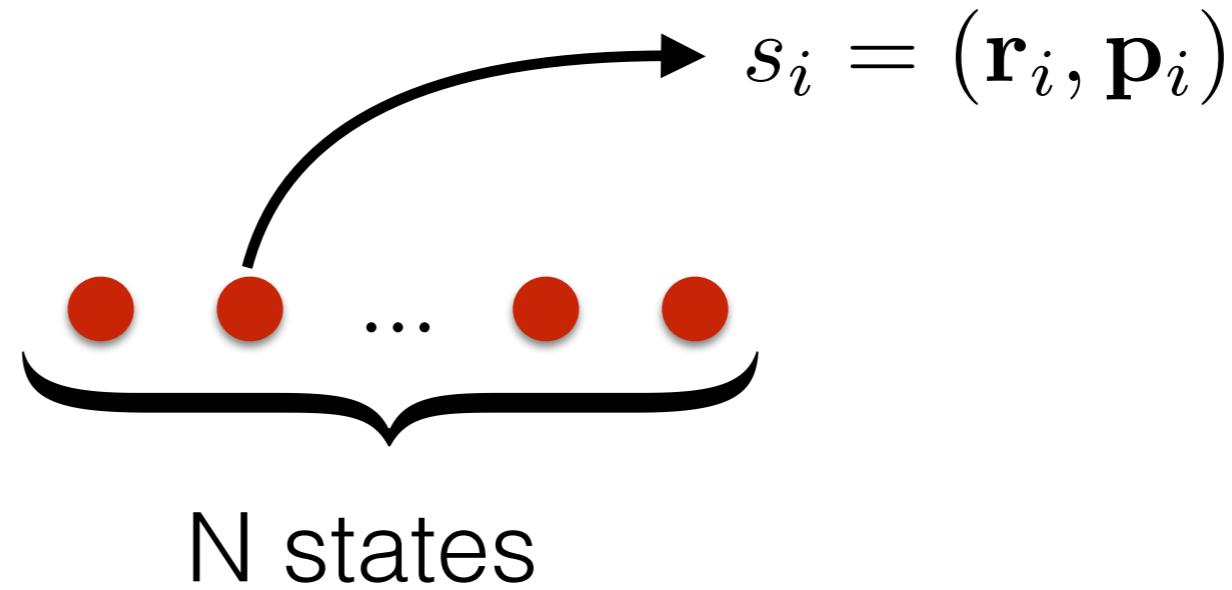
$$\frac{\mathbf{p}_i^2}{2m_i}$$

Can also be one
big measurement

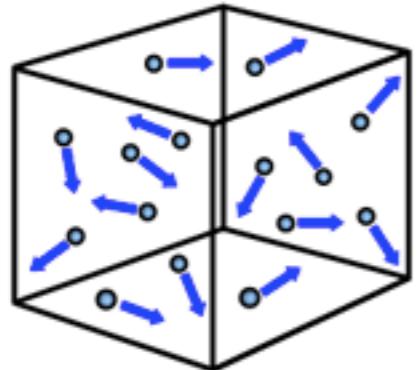
Example



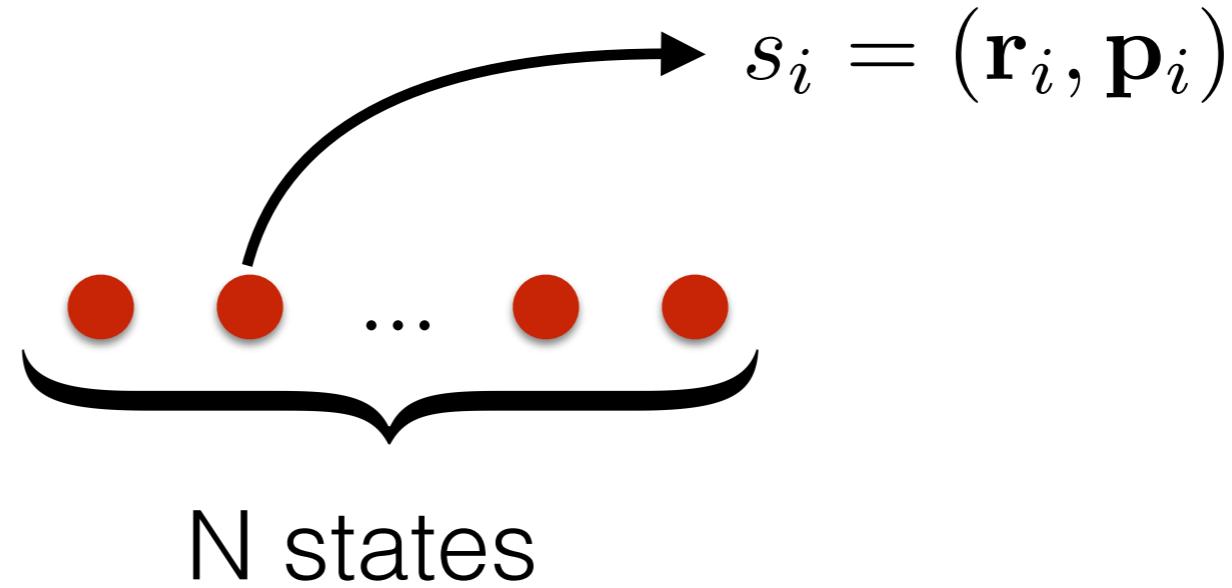
N particles
non-interacting



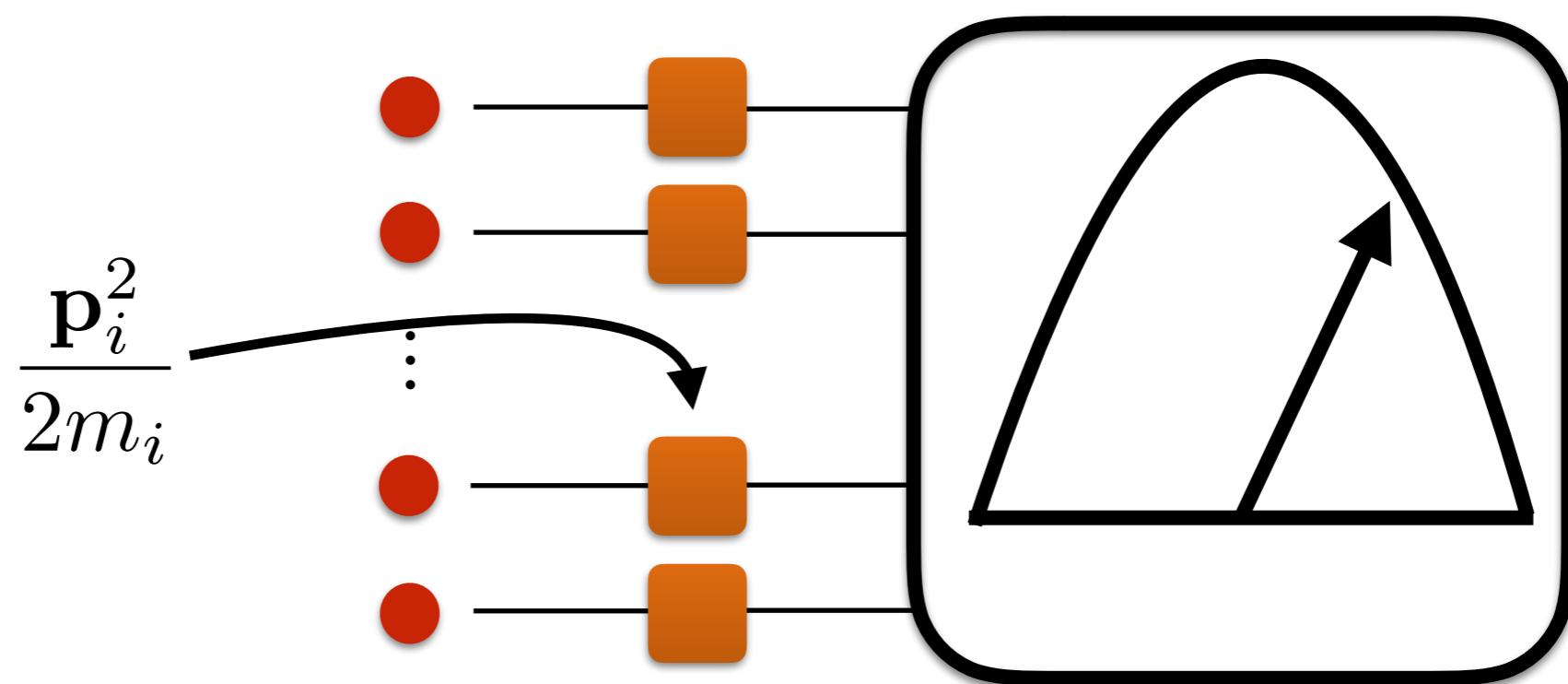
Example



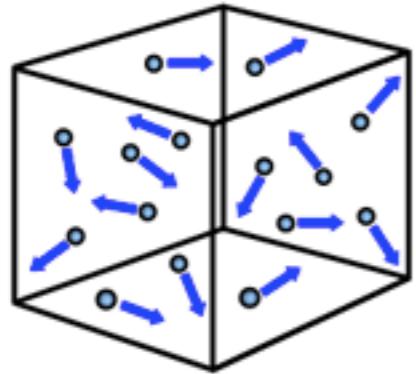
N particles
non-interacting



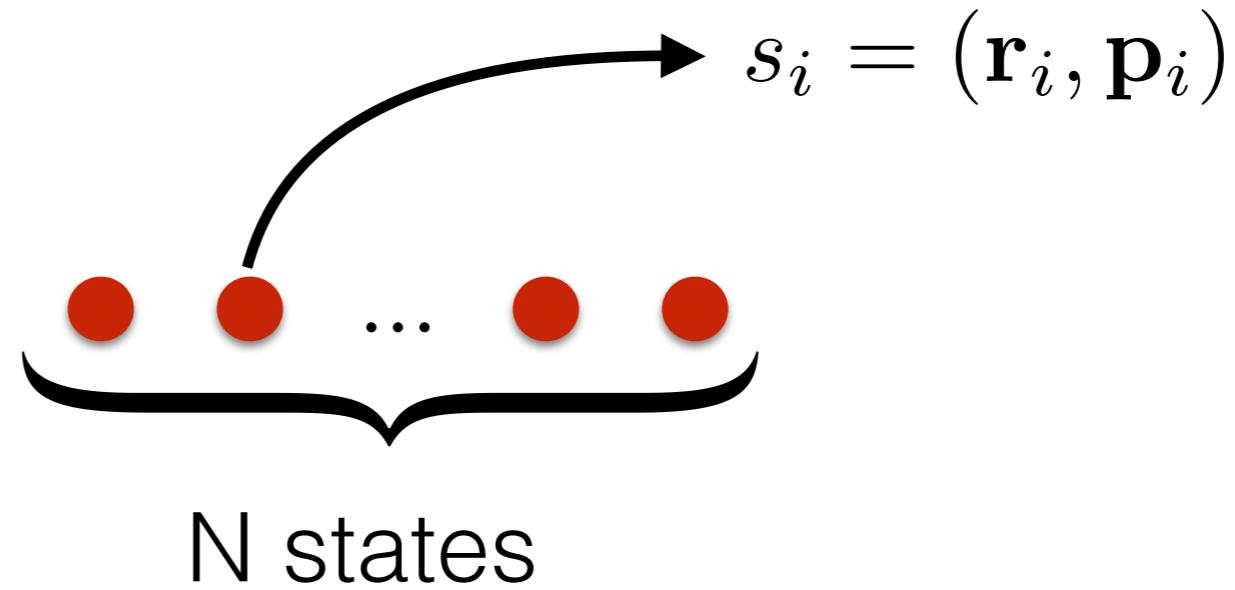
What if the particles interact?



Example

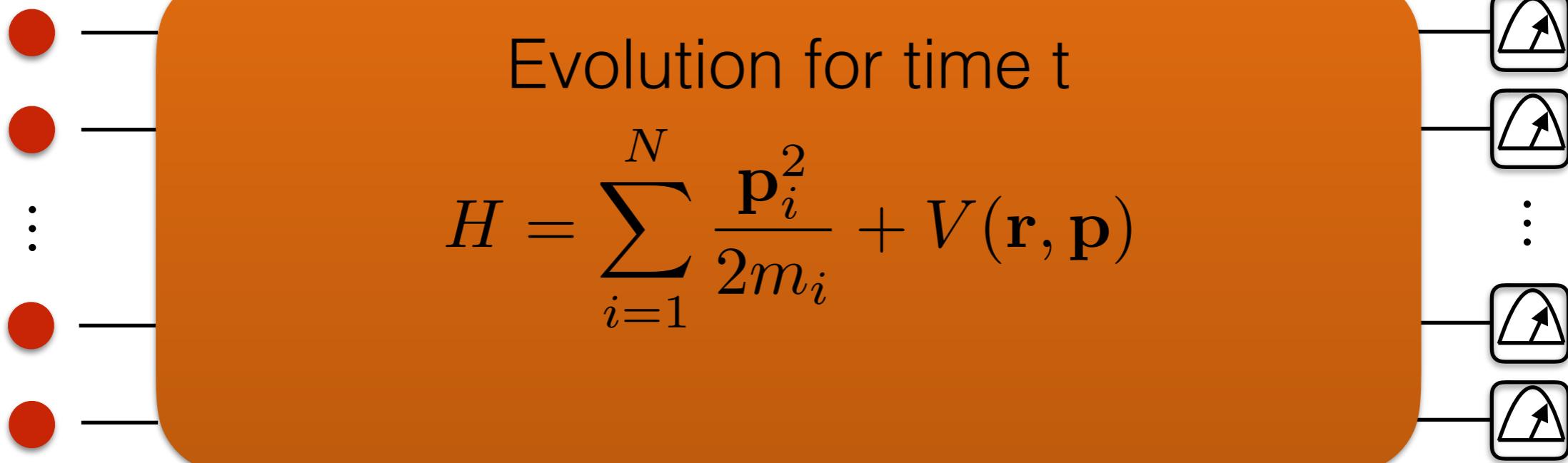


N interacting
particles

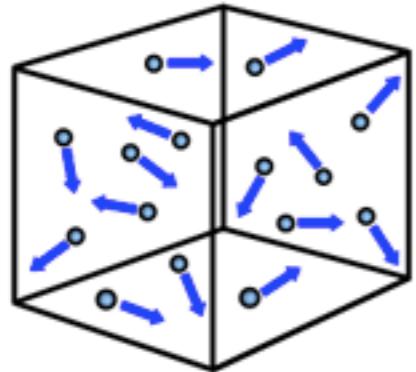


Evolution for time t

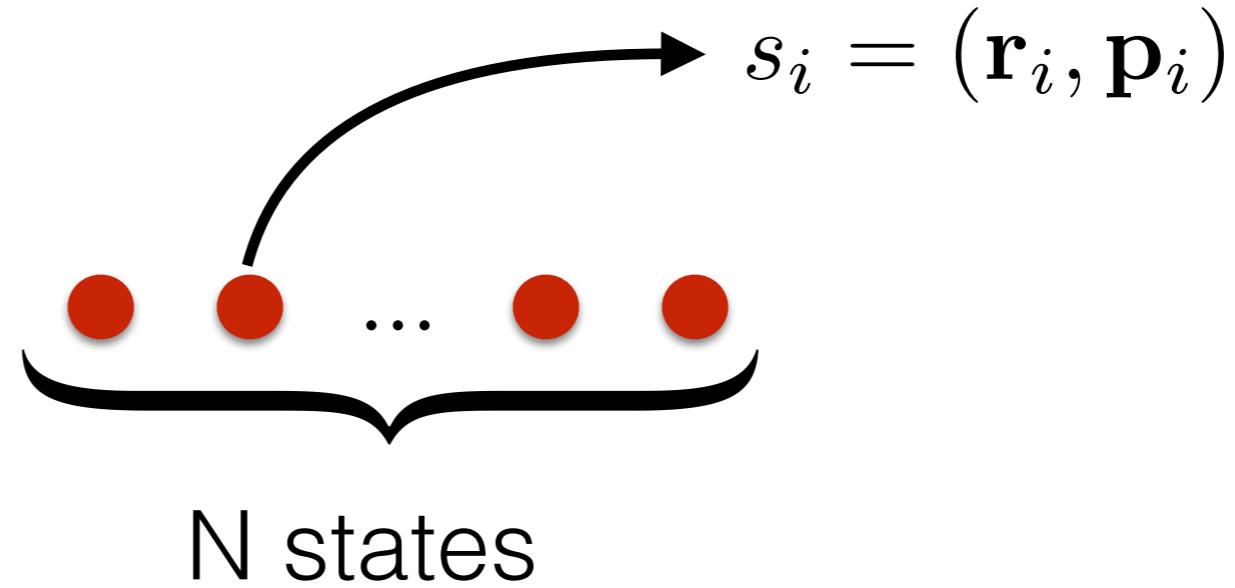
$$H = \sum_{i=1}^N \frac{\mathbf{p}_i^2}{2m_i} + V(\mathbf{r}, \mathbf{p})$$



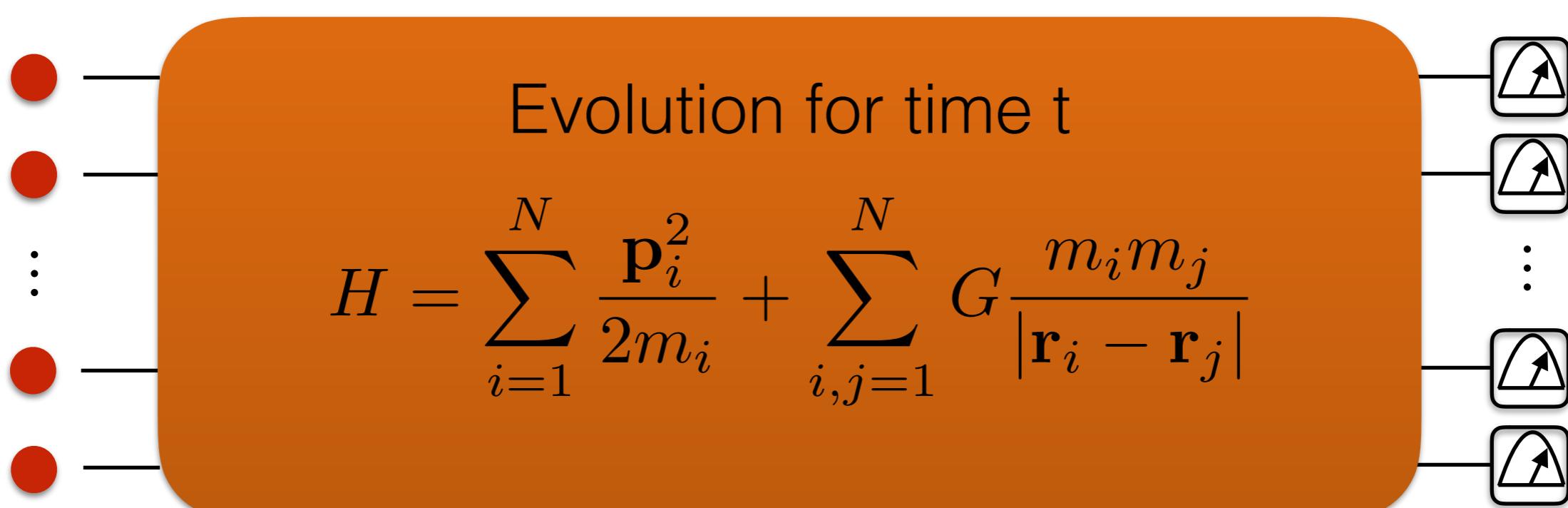
Example



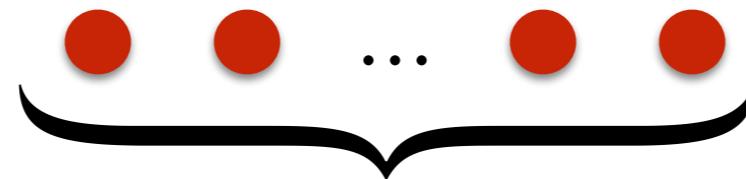
N interacting
particles



Gravitational interaction



Example



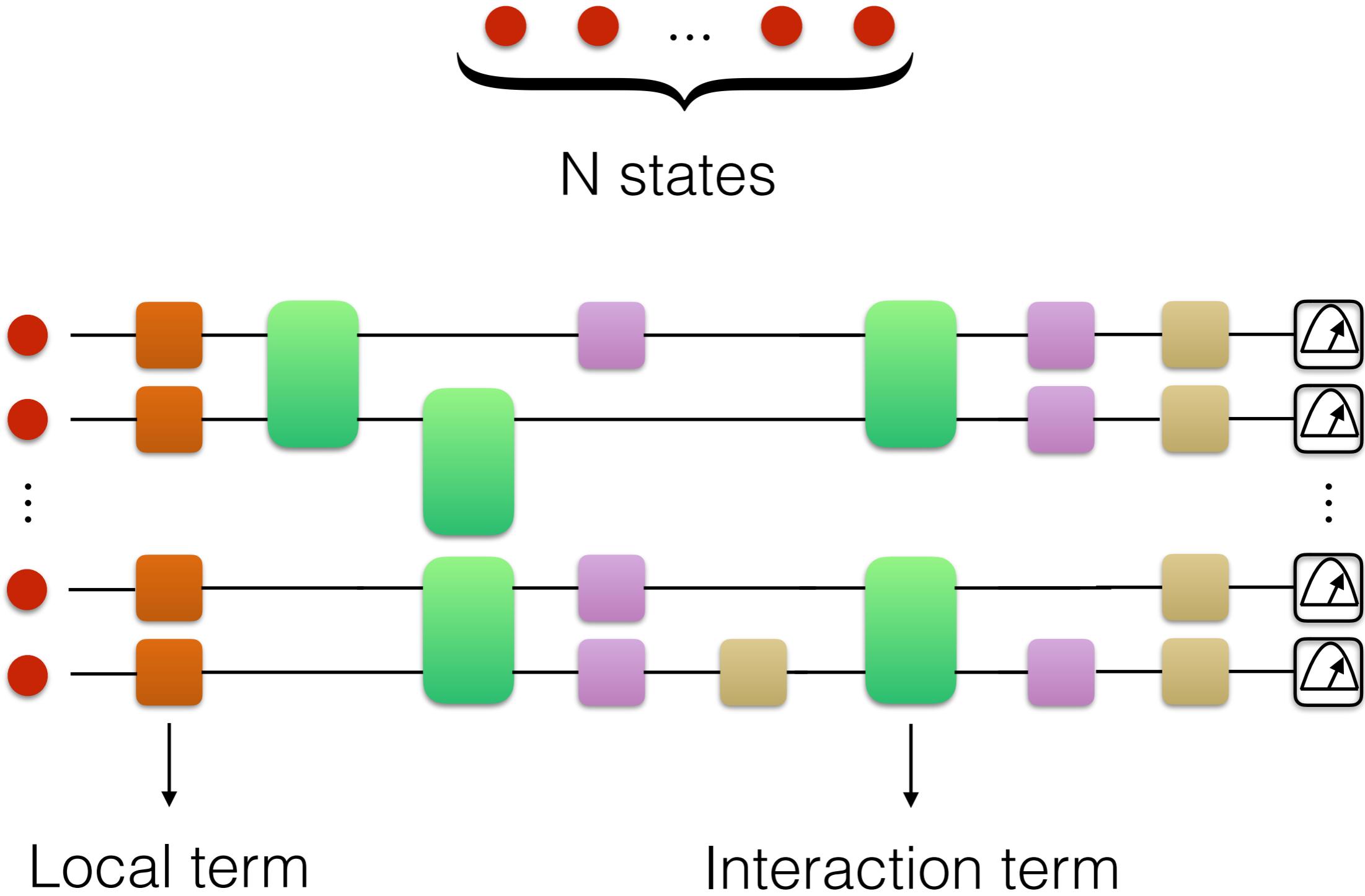
Hamiltonian can have local terms and interaction terms

$\frac{\mathbf{p}_i^2}{2m_i}$ acts only on state i

$G \frac{m_i m_j}{|\mathbf{r}_i - \mathbf{r}_j|}$ acts on states i and j together

Imagine we can turn on/off the interaction terms
whenever we wanted to

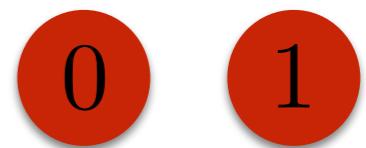
Example



Computation

States

Binary strings $S = \{0, 1\}^*$



Transformations

Boolean logic gates



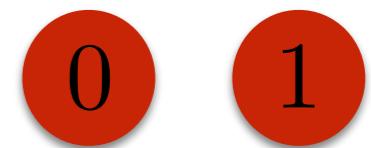
Composition

**Observation
(measurement)**

Computation

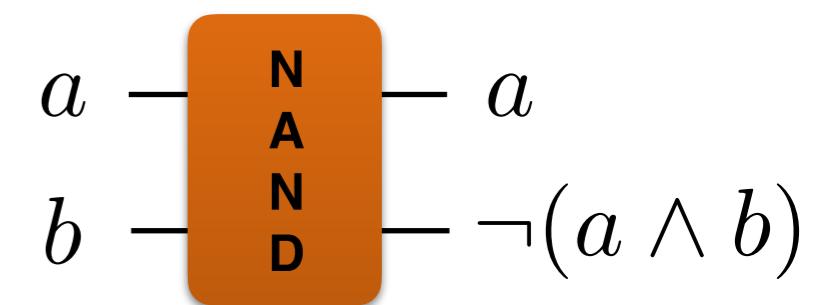
States

Binary strings $\mathcal{S} = \{0, 1\}^*$



Transformations

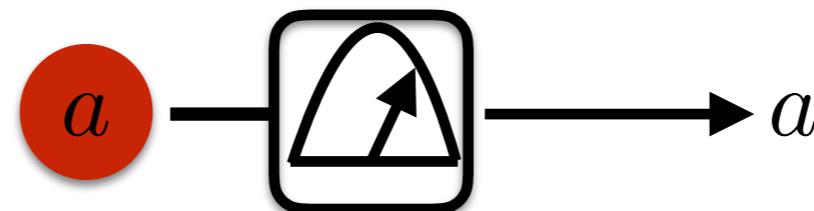
Boolean logic gates



Composition

Cartesian product $\mathcal{S}_A = \{0, 1\}$ $\mathcal{S}_{AB} = \mathcal{S}_A \times \mathcal{S}_B$
 $\mathcal{S}_B = \{0, 1\}$ $\mathcal{S}_{AB} = \{00, 01, 10, 11\}$

Observation (measurement)



Quantum mechanics

States

Unit vectors in a complex vector space

$$|\psi\rangle \in \mathcal{H}$$
$$||\psi\rangle|^2 = 1$$

Transformations

Schrödinger's equation

$$H|\psi\rangle = i\hbar \frac{d|\psi\rangle}{dt}$$

Composition

Tensor product

$$\mathcal{H}_{AB} = \mathcal{H}_A \otimes \mathcal{H}_B$$

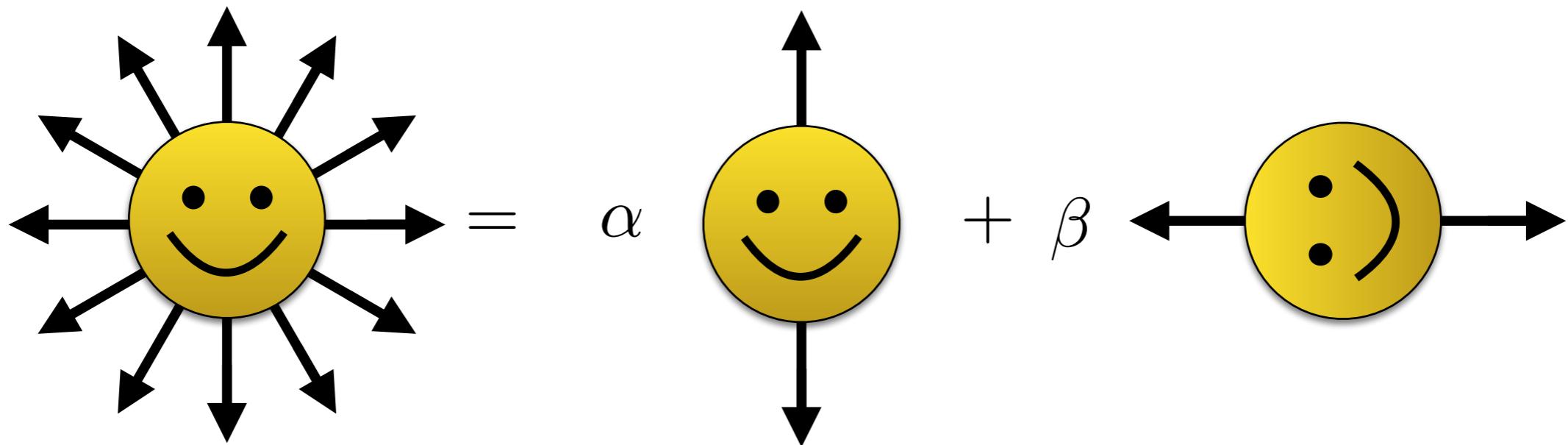
Observation (measurement)

Hermitian operators

$$O = O^\dagger$$

Quantum states

One qubit $\dim(\mathcal{H}) = 2$



$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

$$|\alpha|^2 + |\beta|^2 = 1$$

$$|\psi\rangle \equiv e^{i\phi}|\psi\rangle$$

Quantum states

One qutrit $\dim(\mathcal{H}) = 3$

Three level quantum systems

Can't really represent them with polarisation

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle + \gamma|2\rangle$$

$$|\alpha|^2 + |\beta|^2 + |\gamma|^2 = 1$$

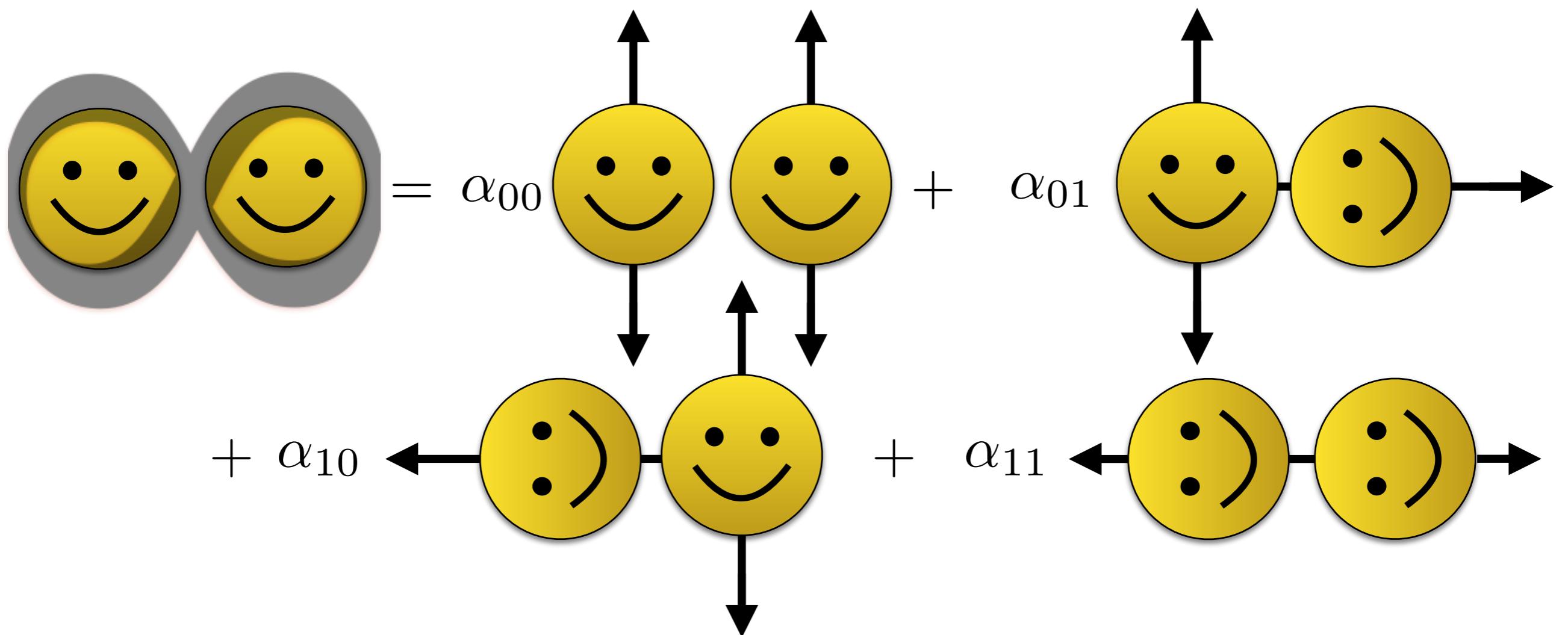
We almost never talk about qutrits :(

Quantum states

Two qubits $\dim(\mathcal{H}) = 4$

$$|\psi\rangle = \alpha_{00}|00\rangle + \alpha_{01}|01\rangle + \alpha_{10}|10\rangle + \alpha_{11}|11\rangle$$

$$\sum_{i,j \in \{0,1\}} |\alpha_{ij}|^2 = 1$$



Quantum states

N qubits $\dim(\mathcal{H}) = 2^N$

$$|\psi\rangle = \alpha_0|00\dots0\rangle + \alpha_1|00\dots1\rangle + \dots + \alpha_{2^N-1}|11\dots1\rangle$$

$$\sum_{i=0}^{2^N-1} |\alpha_i|^2 = 1$$

$$|\psi\rangle = \begin{pmatrix} \alpha_0 \\ \alpha_1 \\ \vdots \\ \alpha_{2^N-1} \end{pmatrix} \quad \langle\psi| = \left(\begin{array}{cccc} \alpha_0^* & \alpha_1^* & \cdots & \alpha_{2^N-1}^* \end{array} \right)$$

$$\langle\psi|\psi\rangle = 1$$

Inner products

All bases we consider should be **orthonormal**

$$|\psi\rangle = \sum_i \alpha_i |i\rangle$$

It is the case that

$$\forall i, j \text{ s.t. } i \neq j, \langle i|j\rangle = \langle j|i\rangle = 0$$

$$\forall i, \langle i|i\rangle = 1$$

Note that:

$$\langle i|\psi\rangle = \alpha_i$$

$$\langle\psi|\phi\rangle = \langle\phi|\psi\rangle^*$$

Composition

$$\mathcal{H}_A \quad \dim(\mathcal{H}_A) = m$$

$$|\psi\rangle_A = \alpha_0|0\rangle_A + \alpha_1|1\rangle_A + \dots + \alpha_{m-1}|m-1\rangle_A$$

$$\mathcal{H}_B \quad \dim(\mathcal{H}_B) = n$$

$$|\psi\rangle_B = \beta_0|0\rangle_B + \beta_1|1\rangle_B + \dots + \beta_{n-1}|n-1\rangle_B$$

$$\mathcal{H}_{AB} = \mathcal{H}_A \otimes \mathcal{H}_B$$

$$\dim(\mathcal{H}_{AB}) = m \cdot n$$

$$|\psi\rangle_{AB} = \gamma_{00}|0\rangle_A|0\rangle_B + \gamma_{01}|0\rangle_A|1\rangle_B + \dots$$

$$\dots + \gamma_{m-1,n-1}|m-1\rangle_A|n-1\rangle_B$$

Composition

$$|\psi\rangle_A = \alpha_0|0\rangle_A + \alpha_1|1\rangle_A + \dots + \alpha_{m-1}|m-1\rangle_A$$

$$|\psi\rangle_B = \beta_0|0\rangle_B + \beta_1|1\rangle_B + \dots + \beta_{n-1}|n-1\rangle_B$$

What if we put these states together?

$$\begin{aligned} |\psi\rangle_A |\psi\rangle_B &= |\psi\rangle_A \otimes |\psi\rangle_B \\ &= \alpha_0\beta_0|0\rangle_A|0\rangle_B + \dots + \alpha_i\beta_j|i\rangle_A|j\rangle_B + \dots \\ &\quad \dots + \alpha_{m-1}\beta_{n-1}|m-1\rangle_A|n-1\rangle_B \end{aligned}$$

If $|\psi\rangle_{AB} = |\psi\rangle_A |\psi\rangle_B$

$$\gamma_{i,j} = \alpha_i\beta_j$$

In general this might not be the case!

Entanglement

$$|\psi\rangle_{AB} = \frac{1}{\sqrt{2}}|0\rangle_A|0\rangle_B + \frac{1}{\sqrt{2}}|1\rangle_A|1\rangle_B$$

Suppose $|\psi\rangle_{AB} = |\psi\rangle_A|\psi\rangle_B$

$$|\psi\rangle_A = \alpha_0|0\rangle_A + \alpha_1|1\rangle_A$$

$$|\psi\rangle_B = \beta_0|0\rangle_B + \beta_1|1\rangle_B$$

$$\begin{aligned} |\psi\rangle_A|\psi\rangle_B &= \alpha_0\beta_0|0\rangle_A|0\rangle_B + \alpha_0\beta_1|0\rangle_A|1\rangle_B + \\ &\quad + \alpha_1\beta_0|1\rangle_A|0\rangle_B + \alpha_1\beta_1|1\rangle_A|1\rangle_B \end{aligned}$$

$$\alpha_0\beta_0 = \alpha_1\beta_1 = 1 \qquad \qquad \alpha_0\beta_1 = \alpha_1\beta_0 = 0$$

Contradiction!

Entanglement

$$|\psi\rangle_{AB} = \frac{1}{\sqrt{2}}|0\rangle_A|0\rangle_B + \frac{1}{\sqrt{2}}|1\rangle_A|1\rangle_B$$

This is called a **Bell state**
(more on Day 4)

Entanglement is a sort of *holism* of quantum states

Whole cannot be expressed in terms of its parts

This generalises to multiple systems

Transformations

$$H|\psi\rangle = i\hbar \frac{d|\psi\rangle}{dt}$$

If you solve the equation...

$$|\psi(t)\rangle = e^{-iHt/\hbar}|\psi(0)\rangle$$

$e^{-iHt/\hbar}$ is a **unitary** matrix

$$UU^\dagger = U^\dagger U = I$$

\dagger means transpose & complex conjugate

Transformations

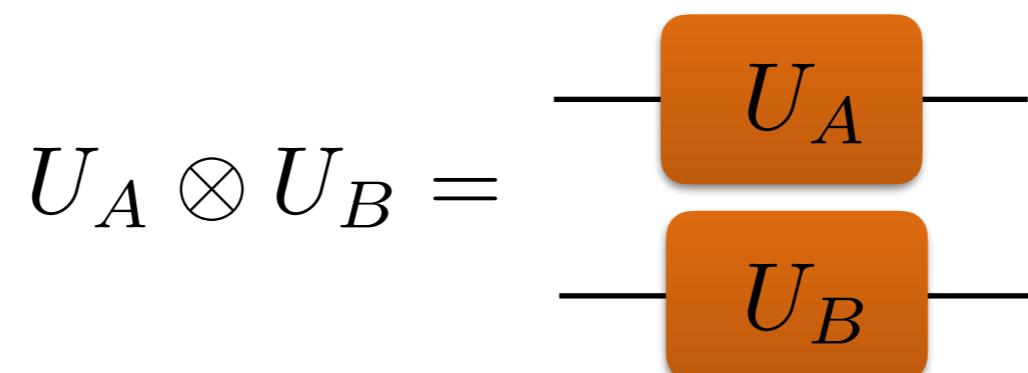


$$U|\psi\rangle = |\phi\rangle$$



$$(U_A|\psi\rangle_A) \otimes (U_B|\psi\rangle_B) = |\phi\rangle_A|\phi\rangle_B$$

$$(U_A \otimes U_B)|\psi\rangle_A|\psi\rangle_B = |\phi\rangle_A|\phi\rangle_B$$



Transformations

But what is $U_A \otimes U_B$?

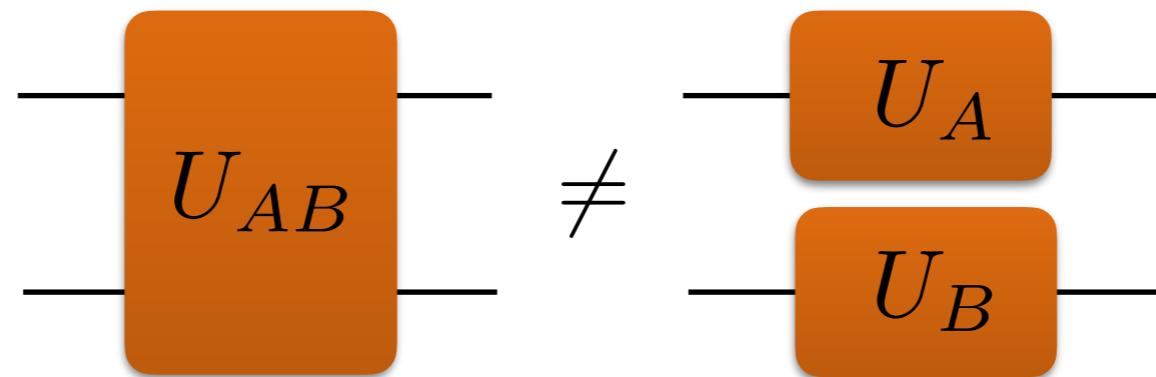
$$U_A = \begin{pmatrix} a_1 & a_2 \\ a_3 & a_4 \end{pmatrix} \quad U_B = \begin{pmatrix} b_1 & b_2 \\ b_3 & b_4 \end{pmatrix}$$

$$U_A \otimes U_B = \begin{pmatrix} a_1 b_1 & a_1 b_2 & a_2 b_1 & a_2 b_2 \\ a_1 b_3 & a_1 b_4 & a_2 b_3 & a_2 b_4 \\ a_3 b_1 & a_3 b_2 & a_4 b_1 & a_4 b_2 \\ a_3 b_3 & a_3 b_4 & a_4 b_3 & a_4 b_4 \end{pmatrix}$$

This generalises for different dimensions
and multiple tensor products

Transformations

Note that we might have...



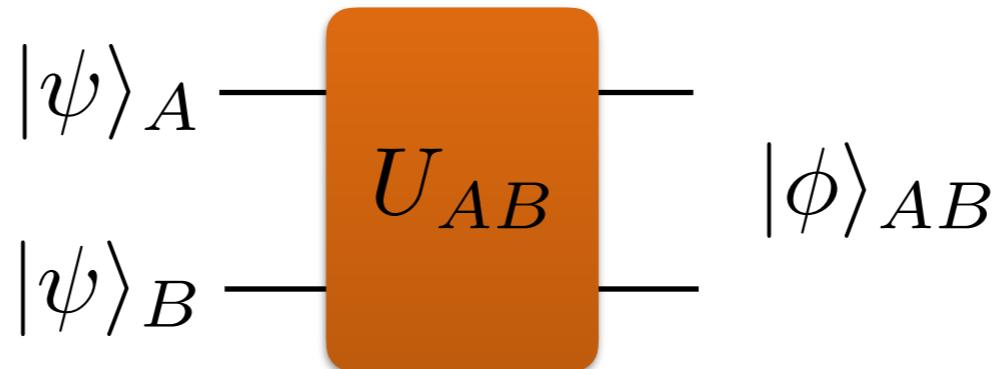
Just like entangled states, these are
entangling gates/operations

Can be written as...

$$U_{AB} = U_A^1 \otimes U_B^1 + \dots + U_A^m \otimes U_B^n$$

We'll see an example later

Transformations

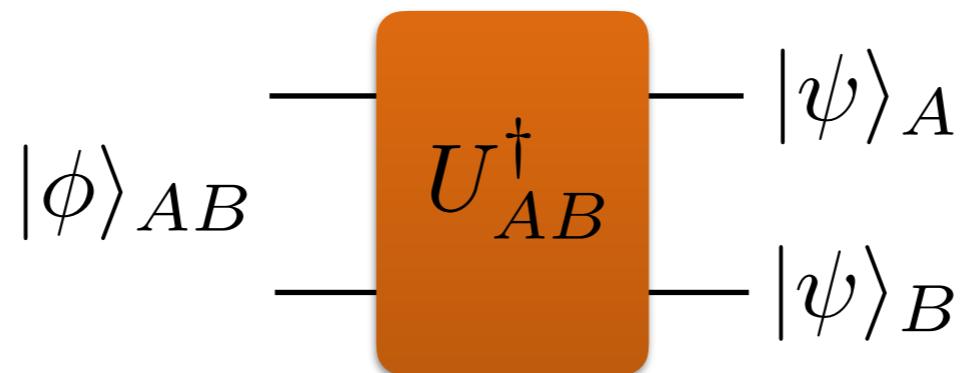


$$|\phi\rangle_{AB} = U_{AB}|\psi\rangle_A|\psi\rangle_B$$

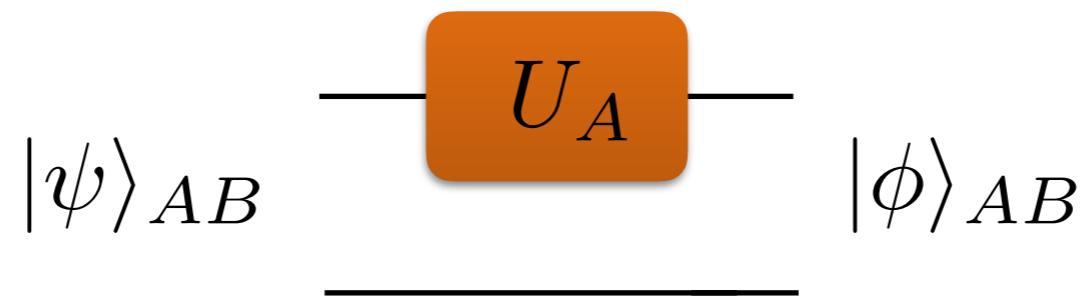
$|\phi\rangle_{AB}$ is entangled $|\phi\rangle_{AB} \neq |\phi\rangle_A|\phi\rangle_B$

Note that unitaries are invertible, so...

$$U_{AB}^\dagger|\phi\rangle_{AB} = |\psi\rangle_A|\psi\rangle_B$$



Transformations



$$U_{AB}|\psi\rangle_{AB} = |\phi\rangle_{AB}$$

What is U_{AB} ?

$$U_{AB} = U_A \otimes I$$

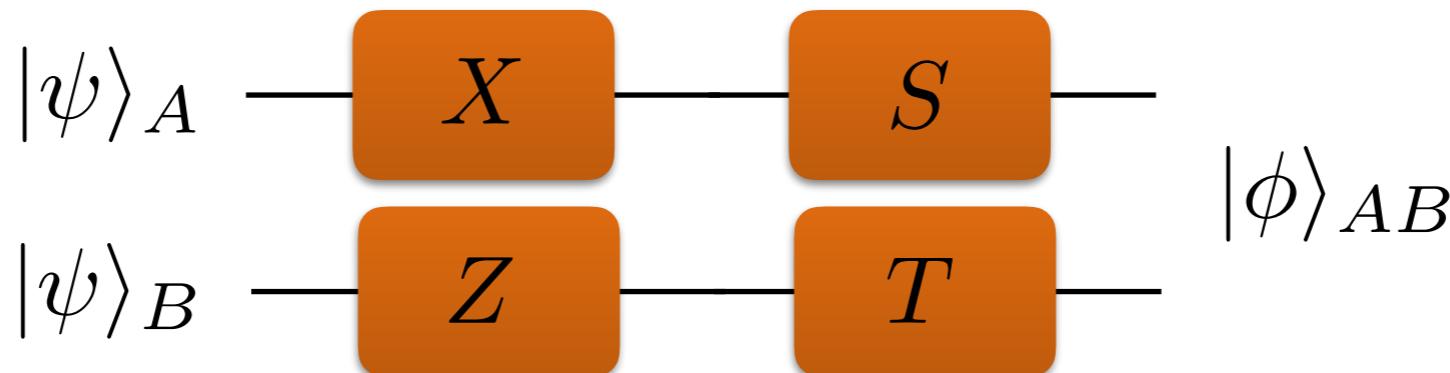
Not the same as...

$$I \otimes U_A$$

What is U_{AB}^\dagger ?

$$U_{AB}^\dagger = U_A^\dagger \otimes I$$

Transformations



$$|\phi\rangle_{AB} = (S \otimes T)(X \otimes Z)|\psi\rangle_A|\psi\rangle_B$$

$$|\phi\rangle_{AB} = (SX) \otimes (TZ)|\psi\rangle_A|\psi\rangle_B$$

$$|\phi\rangle_{AB} = SX|\psi\rangle_A \otimes TZ|\psi\rangle_B$$

Always keep track of which operation acts
on which system!

Observables

Let O be an $m \times m$ matrix such that $O = O^\dagger$

This condition is equivalent to:

O has only **real** eigenvalues

If O has eigenvectors

$$|v_1\rangle, |v_2\rangle, \dots |v_m\rangle$$

Then it is the case that

$$\forall i, j \text{ s.t. } i \neq j, \langle v_i | v_j \rangle = 0$$

We can also normalise the vectors, so that

$$\forall i, \langle v_i | v_i \rangle = 1$$

Observables

Let O be an $m \times m$ matrix such that $O = O^\dagger$

This condition is equivalent to:

O has only **real** eigenvalues

The eigenvectors of O form an orthogonal basis
(that can be made orthonormal)

Any state on an m -dimensional space can be written as

$$|\psi\rangle = \sum_i \alpha_i |v_i\rangle$$

What does all this have to do with measurement?

Observables

If you measure the state $|\psi\rangle$ with observable O

having eigenvalues...

$$\lambda_1, \lambda_2, \dots \lambda_m$$

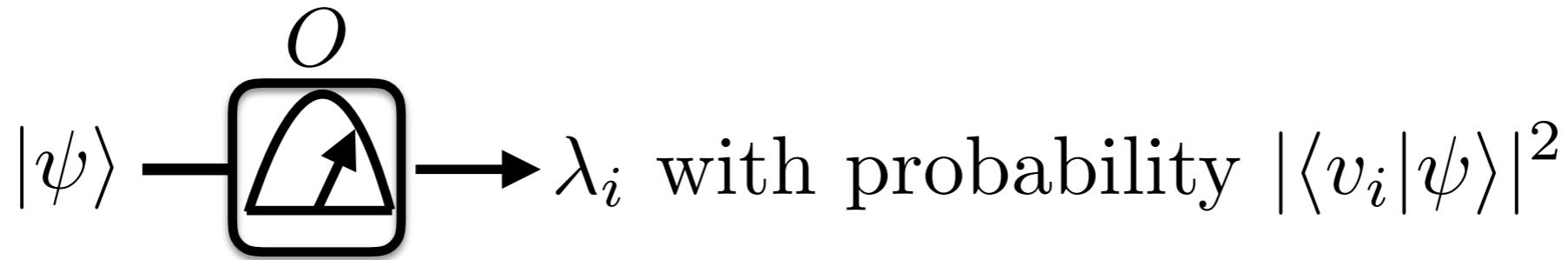
and eigenvectors...

$$|v_1\rangle, |v_2\rangle, \dots |v_m\rangle$$

You will observe λ_i with probability $|\langle v_i | \psi \rangle|^2$

and the state becomes (**collapses to**) $|v_i\rangle$

Observables



What if we have degeneracy?

$$\lambda_i = \lambda_j \quad (i \neq j)$$

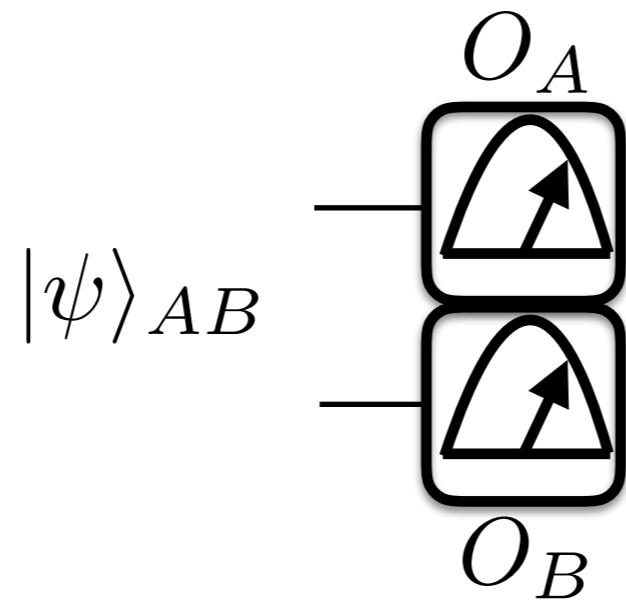
We get outcome $\lambda_i = \lambda_j$ with probability $|\langle v_i |\psi \rangle|^2 + |\langle v_j |\psi \rangle|^2$

State collapses to

$$\frac{\langle v_i | \psi \rangle |v_i\rangle + \langle v_j | \psi \rangle |v_j\rangle}{\sqrt{|\langle v_i | \psi \rangle|^2 + |\langle v_j | \psi \rangle|^2}}$$

Observables

What about this...



$$O_{AB} = O_A \otimes O_B$$

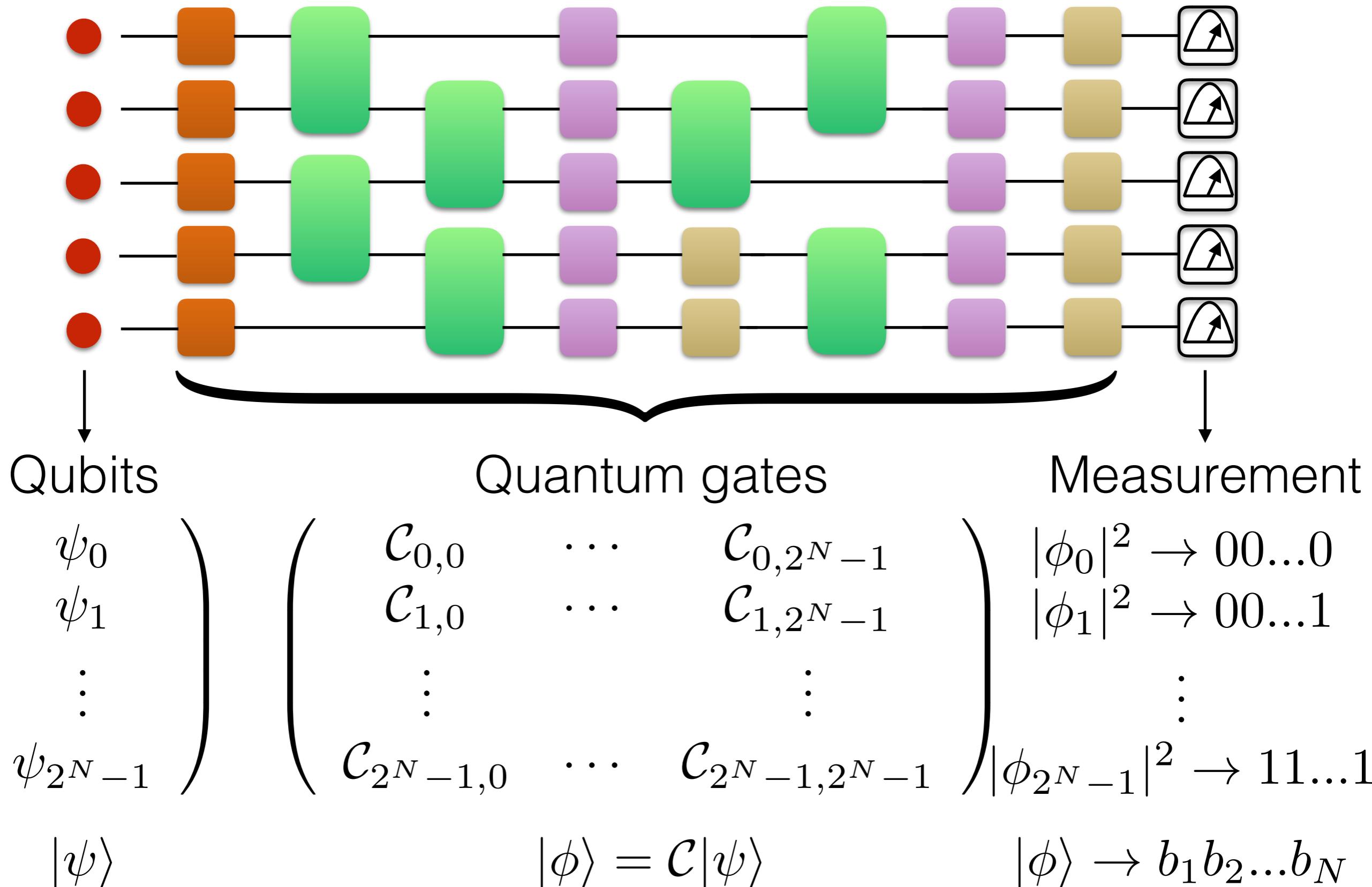
Has eigenvalues $\lambda_A^1 \lambda_B^1, \lambda_A^1 \lambda_B^2, \dots$

And eigenvectors $|v_1\rangle_A |w_1\rangle_B, |v_1\rangle_A |w_2\rangle_B, \dots$

Where λ_A^i and $|v_i\rangle_A$ are the eigenvalues, eigenvectors of O_A

Where λ_B^i and $|w_i\rangle_B$ are the eigenvalues, eigenvectors of O_B

Putting it all together



References and resources

**An awesome course that views things operationally
(categorical quantum mechanics)**

<http://www.inf.ed.ac.uk/teaching/courses/cqi/>

Operational theories

[https://www.cs.ox.ac.uk/people/sean.tull/
OpTheoriesAsCats.pdf](https://www.cs.ox.ac.uk/people/sean.tull/OpTheoriesAsCats.pdf)

<https://arxiv.org/pdf/quant-ph/0508211.pdf>

[https://foundations.ethz.ch/wp-content/uploads/2017/06/
reconstructions.pdf](https://foundations.ethz.ch/wp-content/uploads/2017/06/reconstructions.pdf)

Quantum mechanics from operational principles

<https://arxiv.org/abs/1303.1538>